

DATE:	May 28, 2019
SUBJECT:	TEA Response to EDGAR Special Request for Federal Grant Program(s)
CATEGORY:	Action Required
NEXT STEPS:	Maintain as Documentation Proceed Accordingly with Grant Expenditures

Thank you for following TEA's procedures to request specific prior approval of various federal EDGAR grant requirements under Title 2 of the Code of Federal Regulations (2 CFR) 200.

Attached you will find my decision after careful review of your recent request. Please provide this information to both the program staff and the finance staff within your organization and maintain the attached signed form locally for audit and monitoring documentation.

The attached instruction document provides additional information on next steps. For questions, contact my staff at GrantSupport@tea.texas.gov.

Sincerely,



Cory Green, Associate Commissioner
Contracts, Grants and Financial Administration

C: Mike Meyer, Deputy Commissioner of Finance
Christina Villarreal, Interim Director of Grants Administration

Instructions for Next Steps for Approval or Denial

Approved Forms

For all approved forms, you must adequately document for auditors the appropriate procurement process has been followed.

Maintain the attached signed form as part of your documentation for the appropriate process.

Denied Forms

Noncompetitive Procurement, Sole-Source Requests

Your form is most likely denied due to 1) the appearance of other similarly qualified vendors being available when an internet search of your procurement was completed, or 2) the appearance that the selection of the vendor has already occurred and the request is attempting to create documentation after the fact and therefore does not meet the federal requirement for submitting a written request in advance of the procurement decision.

You may proceed with one of the following options:

- Submit a request for reconsideration (reason #1 above). If you determine that you have additional supporting documentation for this requested sole-source proposal, you may provide that additional information with a new form and request reconsideration of this decision.
- Conduct this proposed procurement in accordance with the requirements applicable to a competitive proposal, found in 2 CFR 200.320(a-d), which depending on the price level may be as simple as only collecting price quotes and developing a selection matrix.
- Maintain sufficient documentation for auditors or monitors to demonstrate the allowability and conduct this proposal under the circumstances allowed for noncompetitive, sole source proposals in 2 CFR 320(f)(1, 2, or 4).

However you choose to proceed with the procurement, you must adequately document that you have followed the appropriate state or federal procurement rules, whichever is most restrictive.

Other Noncompetitive Procurement Requests

Your form is most likely denied due to 1) the appearance of other similarly qualified vendors being available when an internet search of your procurement was completed, 2) the appearance that the selection of the vendor has already occurred and the request is attempting to create documentation after the fact and therefore does not meet the federal requirement for submitting a written request

in advance of the procurement decision, or 3) insufficient detail provided to justify the state approve the procurement as noncompetitive.

You may proceed with one of the following options:

- Submit a request for reconsideration (for reasons #1 or #3 above). If you determine that you have additional supporting documentation for this requested noncompetitive proposal, you may provide that additional information with a new form and request reconsideration of this decision.
- Conduct this proposed procurement in accordance with the requirements applicable to a competitive proposal, found in 2 CFR 200.320(a-d), which depending on the price level may be as simple as only collecting price quotes and developing a selection matrix.

However you choose to proceed with the procurement, you must adequately document that you have followed the appropriate state or federal procurement rules, whichever is most restrictive. Maintain the attached signed form as part of your documentation for the appropriate process.

Request for Approval of Unusual Costs

Your form is most likely denied due to 1) the appearance that the expenditure has already occurred and the request is attempting to create documentation after the fact and therefore does not meet the federal requirement for submitting a written request in advance of the expenditure decision, or 2) insufficient detail provided to justify the state approve the unusual cost.

You may proceed with one of the following options:

- Submit a request for reconsideration (for reason #2 above). If you determine that you have additional supporting documentation for this requested unusual cost, you may provide that additional information with a new form and request reconsideration of this decision.
- Maintain sufficient documentation for auditors or monitors to demonstrate the allowability of the specific or unusual cost.

Maintain the attached signed form as part of your documentation for the appropriate process.

Participant Support Costs

Your form is most likely denied due to 1) insufficient detail to show the costs are only requested for non-employees of your organization, 2) the appearance that the expenditure has already occurred and the request is attempting to create documentation after the fact and therefore does not meet the federal requirement for submitting a written request in advance of the expenditure decision, or 3) insufficient detail provided to justify the state approve the participant support cost.

You may submit a request for reconsideration (for reasons #1 or #3 above). If you determine that you have additional supporting documentation for this requested participant support cost, you may provide that additional information with a new form and request reconsideration of this decision.

Maintain the attached signed form as part of your documentation for the appropriate process.

Other Unique Forms

Conflict of Interest Disclosure

TEA will acknowledge your submission of a *potential* conflict of interest and maintain it on file.

However, USDE is yet to release their required conflict of interest definition and guidance. Once it is received, TEA may contact you with further information.

Maintain the attached signed form as part of your documentation for the appropriate process.

Mandatory Disclosure

This form is required to report any violation of federal criminal law, including fraud, bribery, or gratuity violations, *potentially* affecting the federal award. Each disclosure will be handled on a case-by-case basis.

Maintain the attached signed form as part of your documentation for the appropriate process.

Inventory/Supply Disposition

These forms are processed and generally self-explanatory. TEA staff may call to have the form revised and resubmitted if it cannot be approved as originally submitted.

Maintain the attached signed form as part of your documentation for the appropriate process.



Department of Contracts, Grants and Financial Administration
Request for Other Noncompetitive Procurement Approval

Complete and submit this form to request prior approval for a noncompetitive procurement that is not a sole-source proposal. Limit one proposal per form.

Completion and submission of this form satisfies the requirement in 2 CFR 200.320(f).

Name of Federal Grant All Federal Grants County-District Number 152-950

Name of Grantee Region 17 Education Service Center (ESC17) LEAs, Texas LEAs, and ESCs that purchase products and services from ESC17

Description of Request

Describe the proposed noncompetitive procurement.

All federally funded products and services purchased by LEAs and ESCs from ESC17 during the 2019-2020 fiscal year.

Do you have a sole-source verification letter from the proposed vendor? Yes No

Provide a rationale for why TEA should allow this procurement as a noncompetitive procurement. Additional information may be attached. Additional information may be requested by TEA.

ESC17 is submitting this noncompetitive procurement request on behalf of LEAs and ESCs due to the unique service relationship between districts and ESCs in the State of Texas.

Certification

Name and Title of Authorized Official Kyle Wargo, Executive Director

Signature of Authorized Official and Date [Signature] 5/16/19

This form must be signed by the authorized official.

Email this signed form to TEA's Department of Contracts, Grants and Financial Administration (CGFA) at GrantSupport@tea.texas.gov.

For TEA Use

- Request for prior written approval is approved as requested.
Request for prior written approval is approved with the following changes:

[Empty box for changes]

- Request for prior written approval is denied.

Signature of CGFA Associate Commissioner and Date [Signature] 5/24/19